

## Risk Management & Claims Consulting Services

**Legal troubles don't have to haunt your firm. CPA Mutual goes beyond policy writing to provide resources and experts for claims consulting and overall risk management. We speak with members on a regular basis to support needs and situations such as:**

- + Review of engagement letters (special terms or unusual deliverables)
- + Requests for "comfort letters"
- + Requests for attest work papers
- + Client disputes regarding services delivered
- + Client relationship concerns due to employee or owner conduct
- + Proper communication for delicate client or employee matters
- + Response to document requests and subpoenas

Pre-litigation consulting fees for these issues and others are covered under your CPA Mutual policy. In addition, our affiliated legal counsel offers consulting on any other business issue at a reduced rate for CPA Mutual clients.



R. Peter Fontaine

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(312) 626-2791

### About Your Legal Counsel

CPA Mutual is pleased to introduce attorney R. Peter Fontaine as a strategic resource for pre-litigation counsel. Peter is the founder and managing partner of NewGate Law, a national practice dedicated exclusively to legal and risk management services for the accounting industry.

Before starting his own practice, Peter served as in-house counsel for McGladrey (now RSM), American Express and Arthur Andersen. He and his experienced partners have seen the accounting industry from all angles. They understand the risk factors and legal scenarios that arise from trends like industry consolidation, service expansion, talent shortages, and the overall increased legal scrutiny of accounting firms.

Based on CPA Mutual's member data, odds are that one in every nine accounting firms will encounter at least one legal issue annually. It doesn't have to lead to litigation. Peter can help walk you through the issues and map out a proper plan for action. NewGate Law works with accounting firms on a daily basis to avoid litigation and, more importantly, build a proactive risk management strategy. Before a potential conflict or legal issue escalates, call CPA Mutual.